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Who was involved in the QIP development: Keith Gustafson, Director of Northern Plains Special Education Ramona Gunderson, Program Coordinator of NE Kids, Carol Brakel, DD Program Administrator, Joan Karpenko, Experienced Parent, Region IV			
What data was reviewed to support findings? Data from ASSIST; December 1, 2005 618 data; Child Outcomes Measurement tool; Family Outcomes Measurement Tool; File Review Data; Compliance Review Data			
Focus Group? Yes or No. If yes, describe the group, issues, and responses: Yes, This report was presented to Region IV RICC on May 21 for review and comment.			

Executive Summary:

Region IV has several strengths in providing Early Intervention Services to Infants and Toddlers in the Grand Forks, Pembina, Walsh and Nelson Counties of North Dakota. As a region, we are serving above the national and state percentage outcome for infants and toddlers under 1 and under 3. For infants under 1 year of age, the national target is to serve 1 % under one and state target is 1.75%. Region IV is serving 2.13% under one year of age. For those who are under three years of age, the national target of % served is 2% and the state target is 2.89%. Region IV was serving 3.53 %. We attribute this to the close collaboration between early intervention services and referral sources such as the hospitals, clinics, Grand Forks Air Force Base. social service agencies, local school districts and more. Our Regional Interagency Coordinating Committee is effective in promoting communication and sharing of information among agencies. The data reveals an interesting trend regarding our referrals however. The information indicates that females under the age 1 are being served by early intervention most often but by three years of age, we are serving twice as many males in the program. This is an interesting trend that may require further discussion in future reports.

According the data in this report, we were at 100% compliance in several areas of the Part C indicators. For those areas that were not in compliance, Region IV has taken several action steps to reach the target goals as set forth. We would like to point out one indicator that we have been successful in reaching and maintaining 100 % compliance. Indicator #7 requires that and individualized IFSP be completed within 45 days of referral. Region IV has developed a close working relationship between DD Case Management and NE Kids. This promotes teamwork and communication that results in IFSP being developed with in this timeline. To address other requirements in Indicator #9, Region IV has changed the format and process of family assessment, has established tracking systems for Part C compliance, utilizing the Routine Based interview process, and has monthly joint meetings between DD Case Management and Early Intervention – NE Kids.

Region IV has significant concerns about Indicator # 2. Our concern is related to those children under three who are receiving direct therapy and also receive early intervention in the natural environment through early intervention program. We respectfully request assistance from the Developmental Disabilities Unit – DHS on how best to

address this issue on a local and statewide basis. We would like to request more information on what would be required in an approved justification for direct therapy for a child under three. We would also request assistance in helping to educate/promote the use of the natural environment model for children birth to three by all agencies serving this age group. We would see this as a systems issue that will need to be addressed on a statewide basis.

Monitoring Priority: Early Intervention in Natural Environments

Part C Priority Indicator 1: Percent of infants and toddlers with IFSPs who receive the early intervention services on their IFSPs in a timely manner.

Measurement: Percent = # of infants and toddlers with IFSPs who receive the EI service on their IFSPs in a timely manner divided by the total # of infants and toddlers with IFSPs times 100.

Overview of Issue/Description of System Process:

Numerator is the number of children of whom all services were received in a timely manner divided by the number of children whose files were reviewed. If a child had more than one service and not all services were received in a timely manner then the file was counted as out of compliance completely. Data were provided through case review. July-Sept data are based on IFSPs developed before July 1, 2006.

Baseline Data: 3 infants and toddlers with IFSPs received early intervention services on their IFSPs in a timely manner divided by 4 infants and toddler with IFSPs times 100 = **75 percent**.

Discussion of Baseline Data:

	Jul-Sept. 06 Region 4	Oct.-Dec. 06 Region 4	Jan.-Mar. 07 Region 4	Apr.-Jun. 07 Region 4	Overall State
# Children	4				27
# Services Delivered timely	3				16
%	75.00%				59.26%

Example: There is/are 7 service(s) being provided to 6 child(ren). Of those services, 1 service(s) is/are not being received in a timely manner; however some children receive more than one service. Of the 4 children receiving services, 3 are receiving all their services in a timely manner.

Northeast Kids and Northeast Human Service Center work closely to schedule and coordinate OT, PT and Speech consultations for infants and toddlers under three. Since staff from both agencies received training within the last three months, staff have been able to document the timeliness of the services. It is our opinion that services were typically delivered in a timely manner, however, prior method of documentation did not reflect this. With the different method of documentation and tracking, we anticipate services will be delivered in a timely manner for 100% of infant and toddlers with active ISFPs.

We are noticing a trend of less than 5 families that are consistently not home at the time of scheduled visits or do not come to the agreed upon location in the community for the early intervention visit. This is considered a "no show" for early intervention services. Northeast Kids are addressing this issue through the development of a policy for staff. We believe this issue may impact the data as it will indicate that services were not done in a timely manner when actually the family/child was not available for the visit.

Another issue that may impact our data is that NE Kids has not had a speech pathologist on staff since August 2006. NE Kids is currently contracting with three professionals in speech pathology in order to meet the need of our children/families.

Measurable Rigorous Targets:

Date (FFY)	Measurable Rigorous Targets
2005 (2005 - 2006)	100% of infants and toddlers with IFSPs will receive the early intervention services on their IFSPs within
2006 (2006 - 2007)	100% of infants and toddlers with IFSPs will receive the early intervention services on their IFSPs within 30 days
2007 (2007 - 2008)	100% of infants and toddlers with IFSPs will receive the early intervention services on their IFSPs within 30 days
2008 (2008 - 2009)	100% of infants and toddlers with IFSPs will receive the early intervention services on their IFSPs within
2009 (2009 - 2010)	100% of infants and toddlers with IFSPs will receive the early intervention services on their IFSPs within
2010 (2010 - 2011)	100% of infants and toddlers with IFSPs will receive the early intervention services on their IFSPs within

Improvement Activities/ Timelines/ Resources:

Improvement Activities	Timelines	Resources/ Person(s) Responsible
1. Guidelines and instructions were given to DDCM on how to document timely services on the case plan.	Immediately- May 31, 2007	Carol Brakel, DD Program Administrator
2. Discussion will occur at each IFSP team meetings regarding the type, frequency and timing of the consults needed for each child.	Ongoing for each child.	Team members
3. NE Kids Program will develop a system to track when consults are scheduled to occur so that they are not missed. To utilize alerts to track consults.	July 31, 2007	Ramona Gunderson, NE Kids.
4. The data will be monitored quarterly to assure compliance with timely services.	August, and November, 2007 and March 2008	Carol Brakel, DD Program Administrator and Ramona Gunderson, NE Kids.

Monitoring Priority: Early Intervention in Natural Environments

Part C Priority Indicator 2: Percent of infants and toddlers with IFSPs who primarily receive EI services in the home or programs for typically developing children.

Measurement: Percent = # of infants and toddlers with IFSPs who receive EI services in the home or programs for typically developing children divided by the total # of infants and toddlers with IFSPs times 100.

Overview of Issue/Description of System Process:

Data is pulled from ASSIST query and located in the Excel file on tab labeled 'Indicator 2 R4 Quarterly'.

Baseline Data: 127 infants and toddlers with IFSPs received early intervention services in the home or programs for typically developing children divided by 132 infants and toddler with IFSPs times 100 = **96.21 percent**.

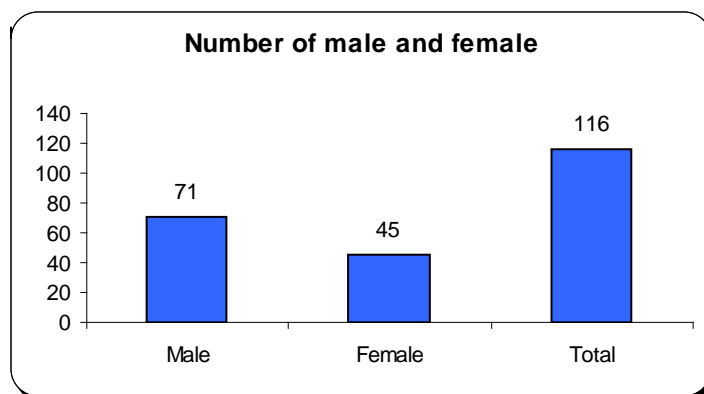
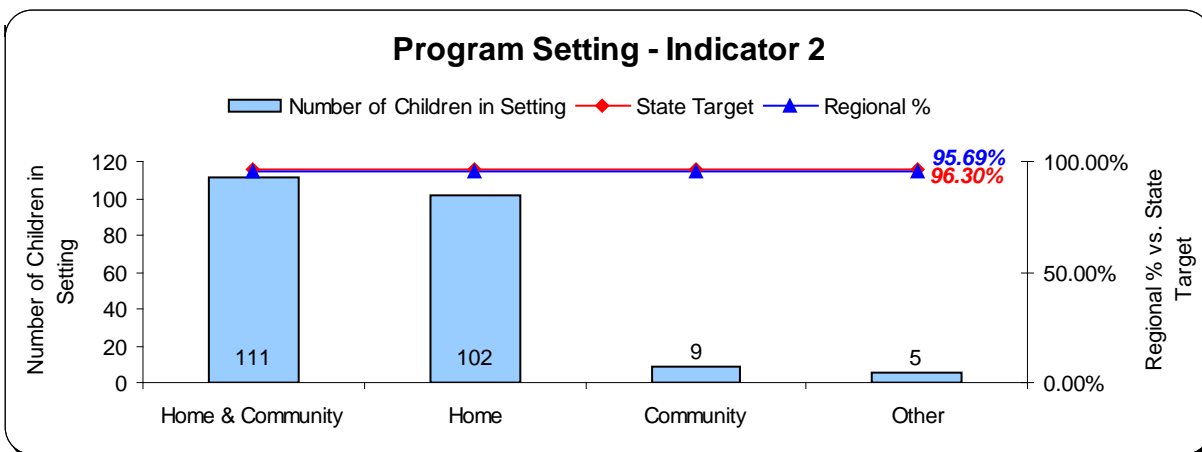
Discussion of Baseline Data:

Current Quarter

There are 116 infants and toddlers being served in Region 4. Of those, 9 are receiving services in a program for typically developing children and the other 102 are receiving services in their home. 5 infants and toddlers are being served in clinical setting. Therefore, 95.69% are being served in the home or program for typically developing children. The State target for FFY 2005-2006 is for 96.3% of infants and toddlers with IFSPs will primarily receive early intervention services in their home or programs for typically developing children. According to the data our region is not meeting the State target.

We have a growing concern about the % of infants/toddlers who are receiving direct PT/OT/Speech in a setting other than the natural environment. In Region IV, medical professionals make referrals for direct therapies on an ongoing basis to a variety of community agencies that provide this service. Though our rate of referral and serving children is good, many of our children that are seen by early intervention program utilizing the natural environment model are accessing direct therapy as directed by their medical physician. There are situations where it is appropriate to have a combination of direct therapy and services in the natural environment. We feel we would need assistance from the state office on a statewide/regional campaign to address policy and methods of services as it relates to early intervention and continued education regarding natural environment .

During this current quarter, it is noticed that out of the 116 infants/toddlers being served in early intervention, 5 children were receiving more direct therapies than Early Intervention services. The 5 children identified have significant medical diagnosis such as cerebral palsy and spina bifida.



	Jul-Sept. 06 Region 4	Oct.-Dec. 06 Region 4	Jan.-Mar. 07 Region 4	Apr.-Jun. 07 Region 4	State Target
# Children	132	116	116		
Male	N/A	72	71		
Female	N/A	44	45		
Home & Community	127	114	111		
Male	N/A	71	68		
Female	N/A	43	43		
Other	0	2	5		
Male	N/A	1	3		
Female	N/A	1	2		
% in Home/Community	96.21%	98.28%	95.69%		96.30%

Measurable Rigorous Targets:

Date (FFY)	Measurable Rigorous Targets
2005 (2005 - 2006)	96.3% of infants and toddlers with IFSPs will primarily receive early intervention services in their home or programs for typically developing children.
2006 (2006 - 2007)	96.4% of infants and toddlers with IFSPs will primarily receive early intervention services in their home or programs for typically developing children.
2007 (2007 - 2008)	96.5% of infants and toddlers with IFSPs will primarily receive early intervention services in their home or programs for typically developing children.
2008 (2008 - 2009)	96.6% of infants and toddlers with IFSPs will primarily receive early intervention services in their home or programs for typically developing children.
2009 (2009 - 2010)	96.8% of infants and toddlers with IFSPs will primarily receive early intervention services in their home or programs for typically developing children.
2010 (2010 - 2011)	97% of infants and toddlers with IFSPs will primarily receive early intervention services in their home or programs for typically developing children.

Improvement Activities/ Timelines/ Resources:

Improvement Activities	Timelines	Resources/ Person(s) Responsible
Document the need for direct therapy in the IFSP if the team supports direct therapy.	As child needs dictate	DDCM/ Early Intervention staff
NE Kids will lobby for this issue to be addressed through policy change and guidelines on how to document this issue by the ICC and appropriate sub-committees of the ICC.	Sept. 30, 2007	NE Kids
NE Kids will have individual discussions with community agencies regarding the issue of direct therapy as specific individual issues arise.	June. 30, 2007	NE Kids

Monitoring Priority: Early Intervention in Natural Environments

Part C Priority Indicator 3: Percent of infants and toddlers with IFSPs who demonstrate improved:

- A. Positive social-emotional skills (including social relationships);**
B. Acquisition and use of knowledge and skills (including early language/communication); and
C. Use of appropriate behaviors to meet their needs.

Measurement:

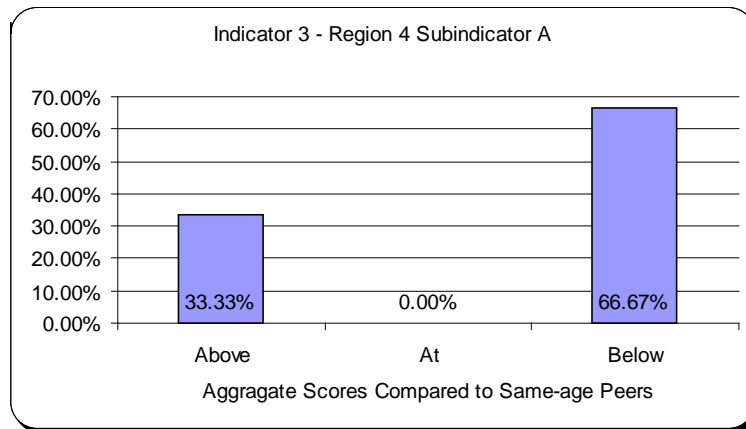
- A. Percent = # of infants and toddlers who reach or maintain functioning at a level comparable to same-aged peers divided by the total # of infants and toddlers with IFSPs times 100;
 B. Percent = # of infants and toddlers who improve functioning at a level comparable to same-aged peers divided by the total # of infants and toddlers with IFSPs times 100; and
 C. Percent = # of infants and toddlers who did not improve functioning at a level comparable to same-aged peers divided by the total # of infants and toddlers with IFSPs times 100.

If children meet the criteria for A, report them in A. Do not include children reported in A in the B or C measurement. If A + B + C does not sum 100%, explain the difference.

Overview of Issue/Description of System Process:

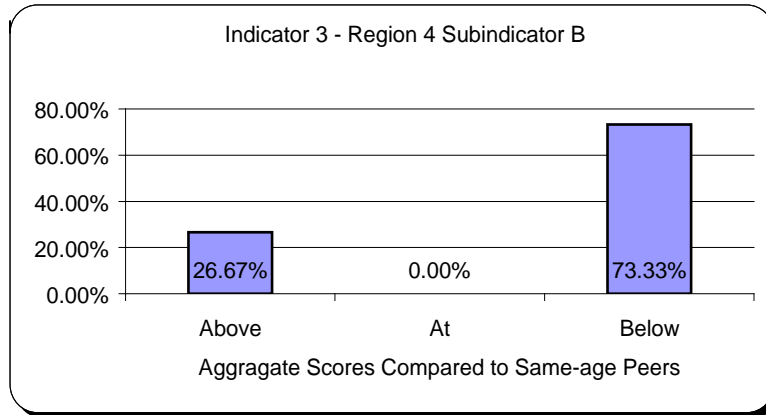
Baseline Data:

A. Positive social-emotional skills (including social relationships); Baseline data indicate that of the 45 files of 52 files provided are clean; 33.33% children are functioning above age level, 0% are functioning at age level, and 66.67% are functioning below age level. There were no infants or toddlers with exit data this quarter that had been in the program for at least 6 months.



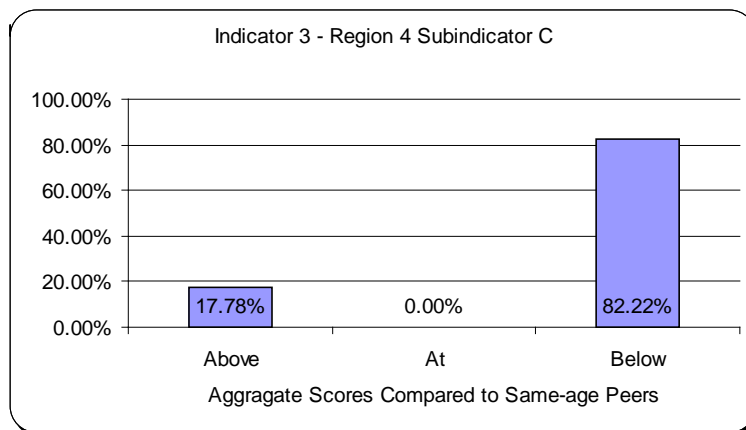
Indicator Table	N=10	Sub Indicator A 10/1/06			N=58	Sub Indicator A 4/1/07		
		Above	At	Below		Above	At	Below
		2	1	7		30	0	28
		20.00%	10.00%	70.00%		51.72%	0.00%	48.28%

B. Acquisition and use of knowledge and skills (including early language/communication); Baseline data indicate that of the 45 files of 52 files provided are clean; 26.67% children are functioning above age level, 0% are functioning at age level, and 73.33% are functioning below age level. There were no infants or toddlers with exit data this quarter that had been in the program for at least 6 months.



Indicator Table	N=10	Sub Indicator B 10/1/06			N45	Sub Indicator B 4/1/07		
		Above	At	Below		Above	At	Below
		1	0	9		12	0	33
		10.00%	0.00%	90.00%		26.67%	0.00%	73.33%

C. Use of appropriate behaviors to meet their needs. Baseline data indicate that of the 45 files of 52 files provided are clean; 17.78% children are functioning above age level, 0% are functioning at age level, and 82.22% are functioning below age level. There were no infants or toddlers with exit data this quarter that had been in the program for at least 6 months.



Indicator Table	N=10	Sub Indicator C 10/1/06			N45	Sub Indicator C 4/1/07		
		Above	At	Below		Above	At	Below
		1	1	8		8	0	37
		10.00%	10.00%	80.00%		17.78%	0.00%	82.22%

Discussion of Baseline Data: Region 4 had 52 files with Child PAR data provided by the state through the ASSIST system query (Data pulled from ASSIST Child PAR and provided in excel workbook on Indicator 3 tab.) Of those, 7 contained data errors. Therefore, 45 files were used for baseline data. There were no infants or toddlers with exit data this quarter that had been in the program for at least 6 months.

Region IV recognizes the need to eliminate data errors. NE Kids will develop a tracking system to assure that Oregon is done on a timely basis and completed thoroughly. Data will be monitored quarterly to assure that we are meeting this goal.

At this point, we do not have comparative data to show scores at the point of exit from the early intervention program. The data indicates that 33 % of the infants/toddlers are functioning above age level at the entry in the area of positive social –emotional skills. The % for acquisition and use of knowledge /skills and the use of appropriate behavior are significantly lower. It is our intent to provide additional training to enhance the knowledge of methods that can address these areas. Indicator #3 needs to be followed closely as we get exit data to compare information.

Measurable Rigorous Targets:

Date (FFY)	Measurable Rigorous Targets
2005 (2005 - 2006)	To be determined.
2006 (2006 - 2007)	To be determined.
2007 (2007 - 2008)	To be determined.
2008 (2008 - 2009)	To be determined.
2009 (2009 - 2010)	To be determined.
2010 (2010 - 2011)	To be determined.

Improvement Activities/ Timelines/ Resources:

Improvement Activities	Timelines	Resources/ Person(s) Responsible
1. Training to staff so data errors are eliminated.	June 30, 2007	NE Kids
2. Develop a system to utilize alerts for tracking child par completion and reviews.	July 30, 2007	NE Kids
3. Training for Early Intervention staff/ DDCM in the following areas: To learn new methods to aquire social skills for children To learn new methods to inrease early language and communication skills for children To learn nnew methods to increase use of appropriate behavior.	June 30, 2007	NE Kids NEHSC DD Case Management

Monitoring Priority: Early Intervention in Natural Environments

Part C Priority Indicator 4: Percent of families participating in Part C who report that EI service have helped the family:

- A. Know their rights;**
- B. Effectively communicate their children's needs; and**
- C. Help their children develop and learn.**

Measurement:

- A. Percent = # of respondent families who report that EI services have helped the family know their rights divided by the total # of respondent families participating in Part C times 100;
- B. Percent = # of respondent families who report that EI services have helped the family effectively communicate their children's needs divided by the total # of respondent families participating in Part C times 100; and
- C. Percent = # of respondent families who report that EI services have helped the family help their children develop and learn divided by the total # of respondent families participating in Part C times 100.

Overview of Issue/Description of System Process:

Data is collected through a Family Survey. Results are located in the Excel file on tab labeled 'Indicator 4'.

Baseline Data:

- A. Respondents who feel that EI has helped their family know and understand their rights:**

Region	Total Respondents	Respondents choosing a score of 5, 6, or 7:	%
4	35	31	88.57%
Statewide	213*	180	84.51%

*2 respondents skipped this question.

- B. Respondents who feel that EI has helped their family effectively communicate their child's needs:**

Region	Total Respondents	Respondents choosing a score of 5, 6, or 7:	%
4	35	30	85.71%
Statewide	212	188	88.68%

*3 respondents skipped this question.

- C. Respondents who feel that EI has helped their family to be able to help their child develop and learn:**

Region	Total Respondents	Respondents choosing a score of 5, 6, or 7:	%
4	35	30	85.71%
Statewide	213*	183	85.92%

*2 respondents skipped this question.

Discussion of Baseline Data:

It is felt that we can address this area through using our Experienced Parent. Data is indicating that there is a % of families who need more information or assistance in the area of knowing their rights, helping to communicate their child's needs and to assist the family in helping their child develop and learn. We are hoping by having the Experienced Parent look at different ways of connecting with families, we will be able to define what assistance and supports are needed by this % of families. Some ideas we have to connect with the families are:

- Having a small focus group of families facilitated by the Experienced Parent. It is felt that a small group would allow families to be comfortable to discuss specific issues/concerns/questions.
- Another method we discussed would be to provide information in the regional newsletter that all families in Early Intervention receive.

Measurable Rigorous Targets:

Date (FFY)	Measurable Rigorous Targets
2005 (2005 - 2006)	To be determined.
2006 (2006 - 2007)	To be determined.
2007 (2007 - 2008)	To be determined.
2008 (2008 - 2009)	To be determined.
2009 (2009 - 2010)	To be determined.
2010 (2010 - 2011)	To be determined.

Improvement Activities/ Timelines/ Resources:

Improvement Activities	Timelines	Resources/ Person(s) Responsible
1. Experienced Parent will call parents to to answer questions/concerns and provide support after the initial IFSP. Complete documentation of initial visit and develop a system to call/visit parents after IFSP	Sept. 30, 2007	Experienced Parent NE Kids DD Program Administrator
2. Parents will have opportunity through focus groups to discuss specific needs and concerns . This will be an opportunity to ask any questions they may have.	Sept. 30, 2007	Experienced Parent NE Kids DD Program Administrator
3. An article in Region IV newsletter that is completed by the Experienced parent based on questions and discussion from parent groups.	Quarterly in newsletter	Experienced Parent NE Kids DD Program Administrator
4. To conduct training fro DDCM on parental rights and develop a checklist for DDCM to assure that all information is given each time.	August 31, 2007	DD Program Administrator
5. Analyze the data on outcome surveys that are being returned presently	Sept. 15, 2007	NE Kids Coordinator DD Program Administrator

Monitoring Priority: Effective General Supervision Part C/Child Find

Part C Priority Indicator 5: Percent of infants and toddlers birth to 1 with IFSPs compared to:
A. State data.

Measurement:

A. Percent = # of infants and toddlers birth to 1 with IFSPs divided by the population of infants and toddlers birth to 1 times 100 compared to North Dakota.

Overview of Issue/Description of System Process:

Baseline Data:

Quarterly data indicated that on April 1, 2007, the Region 4 early intervention system was serving 23 infants and toddlers birth to 1. The total population of Region 4 infants and toddlers birth to 1 was 1,082. **2.13 percent** of the total population under 1 was served.

Discussion of Baseline Data:

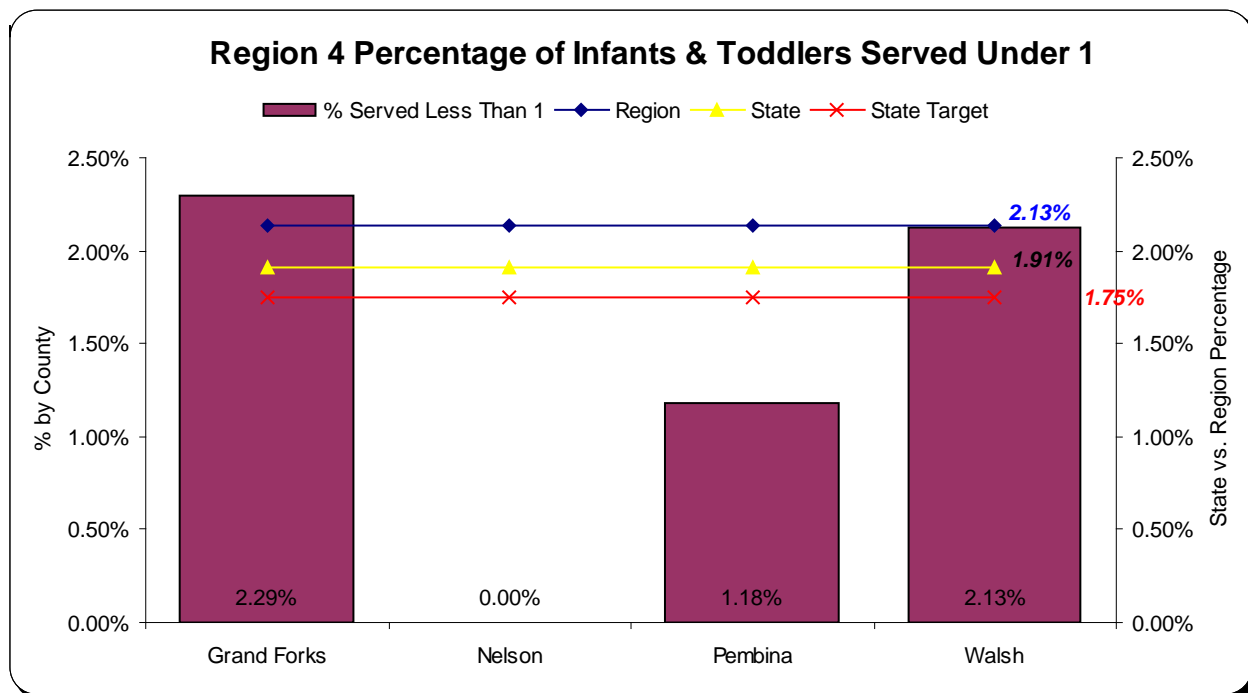
We want to maintain our % throughout our region. We currently do not have any infant under one in Nelson County, however, if you look at the next indicator, you will see that we are serving a high % of infants/toddlers under three in Nelson County.

We are above the state average in identification of infants/toddlers. This is a result of the effective team work and communication among community agencies in Region. IV.

Percentage of Infants and Toddlers Served

County #	County	Less Than 1 in ID on 4/1/07	Children Less Than 1 Living in County	% Served Less Than 1
18	Grand Forks	19	829	2.29%
32	Nelson	0	27	0.00%
34	Pembina	1	85	1.18%
50	Walsh	3	141	2.13%
Region IV		23	1082	2.13%
State		146	7,660	1.91%
State Target				1.75%

4/1/2007



Percentage of Infants and Toddlers Served under 1

	Jul-Sept. 06 Region 4	Oct.-Dec. 06 Region 4	Jan.-Mar. 07 Region 4	Apr.-Jun. 07 Region 4	Current Qtr. Percentage
Grand Forks	8	18	19		2.29%
Nelson	0	0	0		0.00%
Pembina	1	2	1		1.18%
Walsh	3	3	3		2.13%
Region IV	12	23	23		2.13%
State	146				1.91%
Percentage	1.11%	2.13%	2.13%		
State Target					1.75%

Percentage of Infants and Toddlers Served under 1 Male/Female Breakdown

	Jul-Sept. 06		Oct.-Dec. 06		Jan.-Mar. 07		Apr.-Jun. 07	
	Male	Female	Male	Female	Male	Female	Male	Female
Grand Forks	N/A	N/A	6	12	6	13		
Nelson	N/A	N/A	0	0	0	0		
Pembina	N/A	N/A	1	1	0	1		
Walsh	N/A	N/A	3	0	3	0		

Measurable Rigorous Targets:

Date (FFY)	Measurable Rigorous Targets
2005 (2005 - 2006)	1.75 percent of the total population of infants and toddlers birth to 1 residing in North Dakota will be identified and found eligible for early intervention services and have an IFSP.
2006 (2006 - 2007)	1.78 percent of the total population of infants and toddlers birth to 1 residing in North Dakota will be identified and found eligible for early intervention services and have an IFSP.
2007 (2007 - 2008)	1.81 percent of the total population of infants and toddlers birth to 1 residing in North Dakota will be identified and found eligible for early intervention services and have an IFSP.
2008 (2008 - 2009)	1.84 percent of the total population of infants and toddlers birth to 1 residing in North Dakota will be identified and found eligible for early intervention services and have an IFSP.
2009 (2009 - 2010)	1.87 percent of the total population of infants and toddlers birth to 1 residing in North Dakota will be identified and found eligible for early intervention services and have an IFSP.
2010 (2010 - 2011)	1.90 percent of the total population of infants and toddlers birth to 1 residing in North Dakota will be identified and found eligible for early intervention services and have an IFSP.

Improvement Activities/ Timelines/ Resources:

Improvement Activities	Timelines	Resources/ Person(s) Responsible
1. Collaboration with referral sources such as hospitals, Air Force Base, County Social Services and public health entities throughout our region when new staff are being hired or as requested.	As requested	NE Kids DD Program Administrator
2. Work closely with Right Track Program in Region IV to review referrals, monthly screening and billings.	Monthly	DD Program Administrator Right Track Program
3. Regional ICC will be kept informed of % served as we have many referral sources as RICC members.	Quarterly	NE Kids Experienced Parent DDPA
4. Continue to send out brochures and conduct in services to regional referral sources as requests are made..	As requested	NE Kids DD Program Administrator Experienced Parent

Monitoring Priority: Effective General Supervision Part C/Child Find

Part C Priority Indicator 6: Percent of infants and toddlers birth to 3 with IFSPs compared to:
A. State data.

Measurement:

A. Percent = # of infants and toddlers birth to 3 with IFSPs divided by the population of infants and toddlers birth to 3 times 100 compared to North Dakota.

Baseline Data:

Quarterly data indicated that on April 1, 2007, the Region 4 early intervention system was serving 117 infants and toddlers birth to 3. The total population of Region 4 infants and toddlers birth to 3 was 3,313. **3.53 percent** of the total population under 3 was served.

Discussion of Baseline Data:

We want to maintain our level of identification of infants and toddlers birth to three years of age. On looking at the data, 116 infants/toddlers does not appear to be an accurate # of children receiving early intervention services as of April 1. We suspect that we had a significant # of case plans that were not activated on eh Assist program and therefore, not counted in the data on April 1, 2007. To address this issue, we are going to develop a policy/guideline to be more consistent in activating the case plans upon completion. We will look at technology

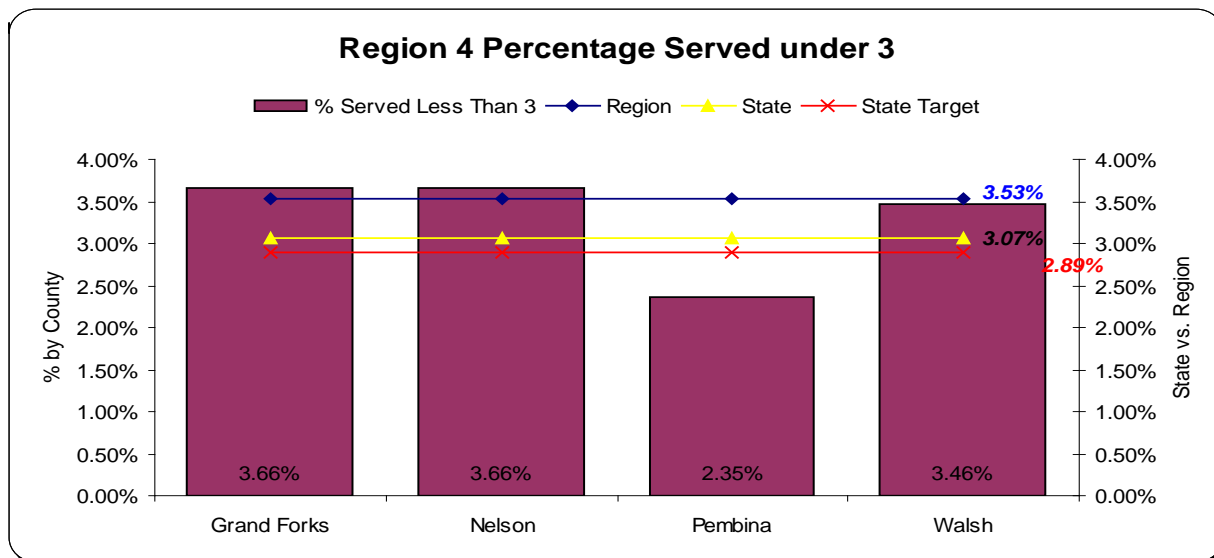
that might assist DDCM to obtain signatures on case plans at the time of the IFSP meeting

We are above the state average in serving infants/toddlers. This is a result of effective teamwork and comminciaton among community agencies in Region IV.

Percentage of Infants and Toddlers Served

County #	County	Number in ID on 4/1/07	Children Less Than 3 Living in County	% Served Less Than 3
18	Grand Forks	93	2543	3.66%
32	Nelson	3	82	3.66%
34	Pembina	6	255	2.35%
50	Walsh	15	433	3.46%
Region IV		117	3313	3.53%
State		718	23,357	3.07%

4/1/07



Percentage of Infants and Toddlers Served under 3

	Jul-Sept. 06 Region 4	Oct.-Dec. 06 Region 4	Jan.-Mar. 07 Region 4	Apr.-Jun. 07 Region 4	Current Qtr. Percentage
Grand Forks	99	94	93		3.66%
Nelson	3	3	3		3.66%
Pembina	7	6	6		2.35%
Walsh	15	16	15		3.46%
Region IV	124	119	117		3.53%
State	718				3.07%
Percentage	3.74%	3.59%	3.53%		
State Target					2.89%

Percentage of Infants and Toddlers Served under 1 Male/Female Breakdown

	Jul-Sept. 06		Oct.-Dec. 06		Jan.-Mar. 07		Apr.-Jun. 07	
	Male	Female	Male	Female	Male	Female	Male	Female
Grand Forks	N/A	N/A	59	35	56	37		
Nelson	N/A	N/A	2	1	2	1		
Pembina	N/A	N/A	5	1	5	1		
Walsh	N/A	N/A	9	7	9	6		

Measurable Rigorous Targets:

Date (FFY)	Measurable Rigorous Targets
2005 (2005 - 2006)	2.89 percent of the total population of infants and toddlers birth to 3 residing in North Dakota will be identified and found eligible for early intervention services and have an IFSP.
2006 (2006 - 2007)	2.98 percent of the total population of infants and toddlers birth to 3 residing in North Dakota will be identified and found eligible for early intervention services and have an IFSP.
2007 (2007 - 2008)	3.07 percent of the total population of infants and toddlers birth to 3 residing in North Dakota will be identified and found eligible for early intervention services and have an IFSP.
2008 (2008 - 2009)	3.16 percent of the total population of infants and toddlers birth to 3 residing in North Dakota will be identified and found eligible for early intervention services and have an IFSP.
2009 (2009 - 2010)	3.25 percent of the total population of infants and toddlers birth to 3 residing in North Dakota will be identified and found eligible for early intervention services and have an IFSP.
2010 (2010 - 2011)	3.34 percent of the total population of infants and toddlers birth to 3 residing in North Dakota will be identified and found eligible for early intervention services and have an IFSP.

Improvement Activities/ Timelines/ Resources:

Improvement Activities	Timelines	Resources/ Person(s) Responsible
1. Staff (DDCM & EI) will activate case plans on timely basis. Will develop a policy/guideline to assure consistency in this area.	July 31, 2007	DD Program Administrator NE Kids Coordinator
2. To utilize a tracking system to activate case plans upon eligibility, after case plan development and as required due to client needs.	July 31, 2007	DD Program Administrator NE Kids Coordinator
3. Continue to collaborate with referral sources with in our region by sending brochures and conducting in services as requested.	As requested	NE Kids DD Case Management - NEHSC
4, Continue to work closely with Right Track program.	As needed	Case Management – NEHSC NE Kids

Monitoring Priority: Effective General Supervision Part C/Child Find

Part C Priority Indicator 7: Percent of eligible infants and toddlers with IFSPs for whom an evaluation and assessment and an initial IFSP meeting were conducted within Part C's 45-day timeline.

Measurement:

Percent = # of eligible infants and toddlers birth to 1 with IFSPs for whom an evaluation and assessment and an initial IFSP meeting was conducted within Part C's 45-day timeline divided by # of eligible infants and toddlers evaluated and assessed times 100.

Account for untimely evaluations.

Overview of Issue/Description of System Process:

Baseline Data:

From April to June 2006, 2 eligible infants and toddlers had evaluations, assessments and an initial IFSP meeting conducted within 45 days of referral. 2 infants and toddlers were found eligible. 100 percent of eligible infants and toddlers had evaluations, assessments, and an initial IFSP meeting conducted within 45 days of referral.

Discussion of Baseline Data:

Data is indicating 100% compliance in all areas except hearing. It has been a challenge to have hearing screening done prior to IFSP. Since January 2007, we have had local access to staff from North Dakota School for Deaf. This has assisted us in completing the hearing screening prior to IFSP.

Case Review Data (April, May, June 2006) – Without Accounting for Family Reasons

Compliance % by Region & Component, Statewide

Monitoring Survey Item and (ITEM no.)	Region 4*		Statewide	
	+	% +	+	% +
45 Day Timeline	2	100%	25	75.76%
Multi-Disciplinary Evaluation	2	100%	24	96.00%
Gross Motors	2	100%	24	96.00%
Fine Motor	2	100%	25	100%
Vision	2	100%	20	80.00%
Hearing	1	50.00%	10	40.00%
Cognitive	2	100%	20	80.00%
Communication	2	100%	23	92.00%
Adaptive	2	100%	24	96.00%
Social/Emotional	2	100%	23	92.00%

Statewide, of the 33 files, there were 25 files within the 45 day timeline. Of those, I looked to see if each of those files had the other components. If so, they are represented in the "+" column; if not, "-". Numerator is number of files within the 45 day timeline. Denominator is the total files in each region.

	Jul-Sept. 06	Oct.-Dec. 06	Jan.-Mar. 07	Apr.-Jun. 07	Current Qtr.
	Region 4	Region 4	Region 4	Region 4	State Comparison
45 Day Timeline	100%				75.76%
Multi-Disciplinary Evaluation	100%				96.00%
Gross Motors	100%				96.00%
Fine Motor	100%				100%
Vision	100%				80.00%
Hearing	50.00%				40.00%
Cognitive	100%				80.00%
Communication	100%				92.00%
Adaptive	100%				96.00%
Social/Emotional	100%				92.00%

Case Review Data (April, May, June 2006) –Accounting for Family Reasons

Compliance % by Region & Component, Statewide

Monitoring Survey Item and (ITEM no.)	Region 4*		Statewide	
	+	% +	+	% +
45 Day Timeline	2	100%	33	100%
Multi-Disciplinary Evaluation	2	100%	32	96.97%
Gross Motors	2	100%	31	93.94%
Fine Motor	2	100%	33	100%
Vision	2	100%	28	84.85%
Hearing	1	50.00%	14	42.42%
Cognitive	2	100%	28	84.85%
Communication	2	100%	31	93.94%
Adaptive	2	100%	32	96.97%
Social/Emotional	2	100%	31	93.94%

Statewide, of the 33 files, accounting for those past the 45 days due to family reasons, there were 33 files within the 45 day timeline. Of those, I looked to see if each of those files had the other components. If so, they are represented in the "+" column; if not, "-". Numerator is number of files within the 45 day timeline. Denominator is the total files in each region.

	Jul-Sept. 06	Oct.-Dec. 06	Jan.-Mar. 07	Apr.-Jun. 07	Current Qtr.
	Region 4	Region 4	Region 4	Region 4	State Comparison
45 Day Timeline	100%				75.76%
Multi-Disciplinary Evaluation	100%				96.00%
Gross Motors	100%				96.00%
Fine Motor	100%				100%
Vision	100%				80.00%
Hearing	50.00%				40.00%
Cognitive	100%				80.00%
Communication	100%				92.00%
Adaptive	100%				96.00%
Social/Emotional	100%				92.00%

Measurable Rigorous Targets:

Date (FFY)	Measurable Rigorous Targets
2005 (2005 - 2006)	100 percent of eligible infants and toddlers will have evaluations, assessments, and an initial IFSP meeting conducted within 45 days of referral.
2006 (2006 - 2007)	100 percent of eligible infants and toddlers will have evaluations, assessments, and an initial IFSP meeting conducted within 45 days of referral.
2007 (2007 - 2008)	100 percent of eligible infants and toddlers will have evaluations, assessments, and an initial IFSP meeting conducted within 45 days of referral.
2008 (2008 - 2009)	100 percent of eligible infants and toddlers will have evaluations, assessments, and an initial IFSP meeting conducted within 45 days of referral.
2009 (2009 - 2010)	100 percent of eligible infants and toddlers will have evaluations, assessments, and an initial IFSP meeting conducted within 45 days of referral.
2010 (2010 - 2011)	100 percent of eligible infants and toddlers will have evaluations, assessments, and an initial IFSP meeting conducted within 45 days of referral.

Improvement Activities/ Timelines/ Resources:

Improvement Activities	Timelines	Resources/ Person(s) Responsible
1. Coordinate and schedule hearing screenings prior to IFSP.	July 31, 2007 We are doing already	DDCM – NEHSC NE Kids
2. Staff will receive guidelines where to document date pertaining to this indicator.	July 31, 2007	DDCM – NEHSC NE Kids
3. NE Kids conducts peer review on each file since April 2007.	April 30, 2007	NE Kids
4. Continue to use the current tracking system and communication between EI and DDCM.	July 31, 2007	NE Kids DDCM - NEHSC

Monitoring Priority: Effective General Supervision Part C/Effective Transition

Part C Priority Indicator 8: Percent of all children exiting Part C who received timely transition planning to support the child's transition to preschool and other appropriate community services by their third birthday including:

- A. IFSPs with transition steps and services;**
- B. Notification to LEA, if child potentially eligible for Part B; and**
- C. Transition conference, if child potentially eligible for Part B.**

Measurement:

- A. Percent = # of children exiting Part C who have an IFSP with transition steps and services divided by # of children exiting Part C times 100.
- B. Percent = # of children exiting Part C and potentially eligible for Part B where notification to LEA occurred divided by # of children exiting Part C who were potentially eligible for Part B times 100.
- C. Percent = # of children exiting Part C and potentially eligible for Part B where the transition conference occurred divided by # of children exiting Part C who were potentially eligible for Part B times 100.

Overview of Issue/Description of System Process:

Baseline Data:

A. Statewide, 20 of the sampled children exiting Part C had an IFSP with transition steps and services included in their IFSP. 21 children exiting Part C were sampled. **95.24** percent had an IFSP with transition steps and services. Regionally, 100 percent had an IFSP with transition steps and services.

Transition Issues identified and steps included to prepare family for transition			
<u>Region</u>	<u># in Compliance</u>	<u>Of How Many</u>	<u>Percentage</u>
4	2	2	100.00%
Statewide	20	21	95.24%

B. Statewide, LEAs were notified for 20 of the sampled children who were exiting Part C and were potentially eligible for Part B. 21 children exiting Part C and potentially eligible for Part B were sampled. LEAs were notified for **95.24** percent of the sampled children who were exiting Part C and were potentially eligible for Part B. Regionally, LEAs were notified for 100 percent of the sampled children who were exiting Part C and were potentially eligible for Part B.

Transition Issues identified and steps included to prepare family for transition			
<u>Region</u>	<u># in Compliance</u>	<u>Of How Many</u>	<u>Percentage</u>
4	2	2	100.00%
Statewide	20	21	95.24%

C. Statewide, 15 of the sampled children exiting Part C and potentially eligible for Part B had a transition conference 90 days before their third birthday. 21 children exiting Part C and potentially eligible for Part B were sampled. **71.43%** percent of the sample children exiting Part C and potentially eligible for Part B had a transition conference 90 days before their third birthday. Regionally, 100 percent of the sampled children exiting Part C and potentially eligible for Part B had a transition conference 90 days before their third birthday.

Transition Issues identified and steps included to prepare family for transition			
<u>Region</u>	<u># in Compliance</u>	<u>Of How Many</u>	<u>Percentage</u>
4	2	2	100.00%
Statewide	15	21	71.43%

Discussion of Baseline Data:

Data were provided through case review. July-Sept data are based on IFSPs developed before July 1, 2006.

Data indicates that we are meeting 100% on documenting transition activities which is above the state average. Our working relationship with Lead Education Agencies in our region has improved. This has assisted in our collaboration efforts and teamwork. We will continue with our local transition group including DDPA, NE Kids Coordinator, Directors or Designees of Special Education Units in Region IV. This group has met a total of 4 times since the statewide transition training.

Measurable Rigorous Targets:

Date (FFY)	Measurable Rigorous Targets
2005 (2005 - 2006)	A. 100 percent of children exiting Part C will have an IFSP with transition steps and services. B. The appropriate LEA will be notified for 100 percent of the children exiting Part C who are potentially eligible for Part B. C. 100 percent of children exiting Part C and potentially eligible for Part B will have a transition conference 90 days before their 3 rd birthday.
2006 (2006 - 2007)	A. 100 percent of children exiting Part C will have an IFSP with transition steps and services. B. The appropriate LEA will be notified for 100 percent of the children exiting Part C who are potentially eligible for Part B. C. 100 percent of children exiting Part C and potentially eligible for Part B will have a transition conference 90 days before their 3 rd birthday.
2007 (2007 - 2008)	A. 100 percent of children exiting Part C will have an IFSP with transition steps and services. B. The appropriate LEA will be notified for 100 percent of the children exiting Part C who are potentially eligible for Part B. C. 100 percent of children exiting Part C and potentially eligible for Part B will have a transition conference 90 days before their 3 rd birthday.
2008 (2008 - 2009)	A. 100 percent of children exiting Part C will have an IFSP with transition steps and services. B. The appropriate LEA will be notified for 100 percent of the children exiting Part C who are potentially eligible for Part B. C. 100 percent of children exiting Part C and potentially eligible for Part B will have a transition conference 90 days before their 3 rd birthday.
2009 (2009 - 2010)	A. 100 percent of children exiting Part C will have an IFSP with transition steps and services. B. The appropriate LEA will be notified for 100 percent of the children exiting Part C who are potentially eligible for Part B. C. 100 percent of children exiting Part C and potentially eligible for Part B will have a transition conference 90 days before their 3 rd birthday.
2010 (2010 - 2011)	A. 100 percent of children exiting Part C will have an IFSP with transition steps and services. B. The appropriate LEA will be notified for 100 percent of the children exiting Part C who are potentially eligible for Part B. C. 100 percent of children exiting Part C and potentially eligible for Part B will have a transition conference 90 days before their 3 rd birthday.

Improvement Activities/ Timelines/ Resources:

Improvement Activities	Timelines	Resources/ Person(s) Responsible
1. To continue our thorough documentation regarding transition activities in the IFSP.	As required for each case	NE KIds
2. To continue to collaborate with local special education agencies and schools.	July 31, 2007 As needed?	NE Kids DD Program Administrator

Monitoring Priority: Effective General Supervision Part C/ General Supervision

Part C Priority Indicator 9: General supervision system (including monitoring, complaints, hearings, etc.) identifies and corrects noncompliance as soon as possible but in no case later than one year from identification.

Measurement:

- A. Percent of noncompliance related to monitoring priority areas and indicators corrected within one year of identification.
- # of findings of noncompliance made related to priority areas.
 - # of corrections completed as soon as possible but in no case later than one year from identification.

Percent – b divided by a times 100.

For any noncompliance not corrected within one year of identification, describe what actions, including technical assistance and/or enforcement that the State has taken.

- B. Percent of noncompliance related to areas not included in the above monitoring priority areas and indicators corrected within one year of identification.
- # of findings of noncompliance made related to such areas.
 - # of corrections completed as soon as possible but in no case later than one year from identification.

Percent – b divided by a times 100.

For any noncompliance not corrected within one year of identification, describe what actions, including technical assistance and/or enforcement that the State has taken.

- C. Percent of noncompliance identified through other mechanisms (complaints, due process hearings, mediations, etc.) corrected within one year of identification.
- # of EIS programs in which noncompliance was identified through other mechanisms.
 - # of findings of noncompliance made.
 - # of corrections completed as soon as possible but in no case later than one year from identification.

Percent – c divided by b times 100.

For any noncompliance not corrected within one year of identification, describe what actions, including technical assistance and/or enforcement that the State has taken.

Overview of Issue/Description of System Process:

Data were provided through case review. July-Sept data are based on IFSPs developed before July 1, 2006.

Baseline Data: Case Review Data (April, May, June 2006)

Overall Compliance by Region & Statewide

Region	Region 4 % in Compliance	State % in Compliance
Compliance (Y/N)	N	N
Ratio Non-compliance: Compliant	7/9	7/9

Indicator 9 Compliance Data Points: Case Review Data (April, May, June 2006)

Compliance % by Region & Component, & State

Monitoring Survey Item	Region 4 % in Compliance	State % in Compliance
IFSP Effective Date	100.00%	100.00%
Functional & Measurable	28.57%	47.54%
Location of Services	100.00%	100.00%
Individual or Group	100.00%	100.00%
Delivery Method	100.00%	100.00%
Funding Source	100.00%	100.00%
Service Duration	100.00%	100.00%
Parent's Rights Documented	100.00%	100.00%
Rationale	83.33%	63.16%
6 Month & Annual Review	0.00%	0.00%
Written Prior Notice Provided	0.00%	0.00%

Indicator 9 Performance Data Points: Case Review Data (April, May, June 2006)

Progress % by Region & Component, & State

Monitoring Survey Item	Region 4 % of Progress	State % of Progress
Present Level of Performance	0.00%	14.83%
Child's Interest	14.29%	35.50%
IFSP Date	100.00%	88.82%
Minimum Participants Documented	14.29%	21.30%
Review of Pertinent Records	71.43%	75.11%
PLP Based on Objective Criteria	28.57%	54.20%
Early Literature	14.29%	55.19%
IFSP Included People Important to Family	28.57%	33.95%
Priorities Linked to Concerns, Strengths & Interests.	14.29%	16.72%
Included Family Interview	28.57%	56.04%
Priorities Ranked	0.00%	1.56%
Services and Supports Identified	71.43%	69.62%
Reflect Family Priorities	28.57%	39.72%
Developmentally Appropriate	28.57%	60.90%
Includes pre-literacy and language	28.57%	48.57%
Includes Routines Based Activities	42.86%	42.02%
Includes Use of Lay Language	71.43%	41.08%

Measurable Functional Activities	42.86%	46.19%
Frequency/Intensity Linked to Outcomes	42.86%	30.39%
Consultations Documented	57.14%	41.55%
Services	0.00%	21.39%
Devices	0.00%	23.66%
Discuss appropriate services	0.00%	22.50%
Review child's program options	0.00%	27.81%
Established Transition Plan	0.00%	19.06%
Steps taken to support child	14.29%	18.97%
Procedures to prepare child for new setting	0.00%	8.04%
Discussions of training of parents in training of future placement	0.00%	6.25%
Periodic Review Completed	0.00%	14.29%
Date and Team Members Included	28.57%	26.90%
Required IFSP Participants	14.29%	19.91%
	Region 4 % of Progress	State % of Progress
Cumulative % toward 70% Target (gap)	26.53% (43.47%)	36.46% (33.54%)

Discussion of Baseline Data:

Region IV has not met the 70% compliance with Part C monitoring target however many improvement steps have been initiated to improve and/or eliminate areas of non-compliance. During our last quarterly review, it was indicated to us that we made (at least) an 17 % increase towards the compliance target of 70%.

Measurable Rigorous Targets:

Date (FFY)	Measurable Rigorous Targets
2005 (2005 - 2006)	A. 100 percent of all findings of non-compliance related to monitoring priority areas will be corrected as soon as possible but in no case later than 1 year from identification. B. 100 percent of all findings of non-compliance in addition to monitoring priority areas will be corrected as soon as possible but in no case later than 1 year from identification. C. 100 percent of all findings of non-compliance related to complaint resolution actions will be corrected as soon as possible but in no case later than 1 year from identification.
2006 (2006 - 2007)	A. 100 percent of all findings of non-compliance related to monitoring priority areas will be corrected as soon as possible but in no case later than 1 year from identification. B. 100 percent of all findings of non-compliance in addition to monitoring priority areas will be corrected as soon as possible but in no case later than 1 year from identification. C. 100 percent of all findings of non-compliance related to complaint resolution actions will be corrected as soon as possible but in no case later than 1 year from identification.
2007 (2007 - 2008)	A. 100 percent of all findings of non-compliance related to monitoring priority areas will be corrected as soon as possible but in no case later than 1 year from identification. B. 100 percent of all findings of non-compliance in addition to monitoring priority areas will be corrected as soon as possible but in no case later than 1 year from identification. C. 100 percent of all findings of non-compliance related to complaint resolution actions will be corrected as soon as possible but in no case later than 1 year from identification.
2008 (2008 - 2009)	A. 100 percent of all findings of non-compliance related to monitoring priority areas will be corrected as soon as possible but in no case later than 1 year from identification. B. 100 percent of all findings of non-compliance in addition to monitoring priority areas will be corrected as soon as possible but in no case later than 1 year from identification. C. 100 percent of all findings of non-compliance related to complaint resolution actions will be corrected as soon as possible but in no case later than 1 year from identification.
2009 (2009 - 2010)	A. 100 percent of all findings of non-compliance related to monitoring priority areas will be corrected as soon as possible but in no case later than 1 year from identification. B. 100 percent of all findings of non-compliance in addition to monitoring priority areas will be corrected as soon as possible but in no case later than 1 year from identification. C. 100 percent of all findings of non-compliance related to complaint resolution actions will be corrected as soon as possible but in no case later than 1 year from identification.
2010 (2010 - 2011)	A. 100 percent of all findings of non-compliance related to monitoring priority areas will be corrected as soon as possible but in no case later than 1 year from identification. B. 100 percent of all findings of non-compliance in addition to monitoring priority areas will be corrected as soon as possible but in no case later than 1 year from identification. C. 100 percent of all findings of non-compliance related to complaint resolution actions will be corrected as soon as possible but in no case later than 1 year from identification.

Improvement Activities	Timelines	Resources/ Person(s) Responsible
1. Region IV is conducting quarterly reviews on IFSP for Part C compliance.	Quarterly reviews	Experienced Parent, NE Kids Coordinator, DD Program Administrator and staff
2. NE Kids has developed a peer review process since April 2007.	Monthly since April 2007	NE Kids
3. DDCM/EI are implementing routine based interviews with families since training in Feb 07	For each intake since Feb. 07	DD Case Management - NEHSC
4. Region IV participates in monitoring review calls to review quarterly progress towards the 70% compliance target.	Quarterly basis	NE Kids Coordinator DD Program Administrator
5. NE Kids and DDCM meets on monthly basis to discuss joint concerns, questions and encourage positive communication	Monthly meeting	NE Kids DDCM - NEHSC
6. NE Kids and DDCM will have clearer guidelines on how to document their activities for Part C compliance.	August 31, 2007	DD Program Administrator NE Kids Coordinator